

07-31-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

j1046 U.S. PTO  
09/918365  
07/30/01

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Gene Michal, Ni Ding

For: COVALENTLY IMMOBILIZED HEPARIN INTO AND ONTO FUNCTIONALIZED POLYURETHANE

Enclosed are:

- ☒ Eighteen (18) pages of specification
- ☒ Fifteen (15) pages of claims
- ☒ One (1) page of Abstract
- ☒ One (1) sheet of drawing(s) ☒ Formal ☐ Informal
- ☐ Declaration or oath
- ☐ This application is being filed under 37 CFR 1.53(d) without a signed Declaration or filing fee.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ An associate power of attorney.
- ☐ This is a filing by a small entity under 37 CFR 1.9 and 1.27.
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449 and copies of documents listed thereon.
- ☐ An assignment of the invention to ADVANCED CARDIOVASCULAR SYSTEMS, INC.  
☐ is attached. A separate ☐ "Cover Sheet for Assignment Accompanying New Patent Application" or ☐ Form PTO 1595 is also attached.  
☐ will follow.
- ☒ Other: Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i)

The filing fee has been calculated below:

CLAIMS		CLAIMS		SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA		RATE	FEE	RATE	FEE
BASIC FEE					\$ 355		\$ 710
TOTAL CLAIMS	79 - 20 =	* 59		x \$ 9	\$	x \$ 18	\$ 702
INDEP. CLAIMS	10 - 3 =	* 18		x \$ 39	\$	x \$ 80	\$ 560
MULT.DEP. CLAIMS				+ \$135	\$	+ \$270	\$
ASSIGNMENT RECORDAL				\$ 40	\$	\$ 40	\$
				TOTAL	\$	TOTAL	\$ 1,972

\*If the difference in No. of Claims filed  
is less than zero, enter "0" in No. of Extra

- ☐ Please charge Deposit Account No. **06-2425** in the amount of \$ \_\_\_\_\_. A **duplicate** copy of this transmittal is enclosed.
- ☐ A check in the amount of \$1,972 for the above fees is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **06-2425**. A **duplicate** copy of this transmittal is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.  
☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **06-2425**. A **duplicate** copy of this transmittal is enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Date: July 30, 2001

By: \_\_\_\_\_

John S. Nagy  
Reg. No. 30,664

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PATENT TRADEMARK OFFICE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Gene Michal

Title

Covalently Immobilized Heparin...

Atty Docket Number

ACS 55933

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 30, 2001

Date



Signature

JOHN S. NAGY

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.